

PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/780,101
Filing Date	02/17/2004
First Named Inventor	Pham, Duc
Art Unit	2131
Examiner Name	Abrishamkar, K.
Attorney Docket Number	AESN3017

Sheet 18 of 18**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
KA		US- 5931947	08.03.1999	Burns et al.	
KA		US- 5918074	06.29.1999	Wright et al.	
KA		US- 5872783	02.16.1999	Chin	
KA		US- 5905725	05.18.1999	Sindhu et al.	
KA		US- 6260155	07.10.2001	Dellacona	
KA		US- 6160819	12.12.2000	Partridge et al.	
KA		US- 6266.705	07.24.2001	Ullum et al	
KA		US- 6263445	07.17.2001	Blumenau	
KA		US- 6157955	12.05.2000	Nerad et al.	
KA		US- 6252878	06.26.2001	Locklear, Jr. et al.	
KA		US- 6292827	09.18.2001	Raz	
KA		US- 6351775	02.26.2002	Yu	
KA		US- 6091720	07.18.2000	Bedard et al.	
KA		US- 6078943	06.20.2000	Yu	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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KA		US- 5453979	09.26.1995	Schibler et al.	
KA		US- 5850395	12.15.1998	Hauser et al.	
KA		US- 5974463	10.26.1999	Warrier et al.	
KA		US- 6253193	06.26.2001	Ginter et al.	
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KA		US- 6157649	12.05.2000	Peirce et al.	
KA		US- 5754791	05.19.1998	Dahlgren et al.	
KA		US- 5566170	10.15.1996	Bakke et al.	
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		<del>IBM, IBM Network Processor (IBM32NPR464EPXCAC133) Product Overview, Published 10/04/1999</del>	
		<del>BROADCOM, BCM5820 E-Commerce Product Brief, 5820-PB00-R-3.26.01, Published 2001</del>	
		<del>BROADCOM, BCM5840 Gigabit Security Processor Product Brief, 5840-PB00-R-12.6.00, Published 2000</del>	
		<del>IBM, The Network Processor - Enabling Technology for High-Performance Networking, Published 8-99</del>	
		<del>IBM, Packet Routing Switch PRS28G Product Sheet, Undated.</del>	
		<del>IBM, Packet Routing Switch PRS28G, Version 1.7 Datasheet, prs28.04.fm, February 6, 2001</del>	
		<del>IBM, IBM PowerNP™ NP4GS3 Network Processor Preliminary Datasheet, May 18, 2001</del>	
		<del>IBM, Product Overview, IBM PowerNP NP4GS3, Network Processor Solutions, April 16, 2001</del>	

Examiner Signature	<i>Mark Abrishamkar</i>	Date Considered	6/9/06
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## U. S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ALAN RADDING, Storage-ROI: Truth & Fiction, Infoworld Custom Media Group, 2001	
		IETF, IP STORAGE WORKING GROUP, iSCSI Requirements and Design Considerations, Document: draft-ietf-ips-iscsi-reqmts-05.txt, July 2001	
		IETF, IP Security Working Group, Security Properties of the IPsec Protocol Suite, Document draft-krywaniuk-ipsec-properties-00.txt, July 9, 2001	
		IETF, iSCSI, Document draft-ietf-ips-iscsi-08.txt, 30-Sep-01	

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HA		US- 6529950	03-2003	Lumelsky et al.	
HA		US- 6199077	03-2001	Inalla et al.	
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Sheet 10 of 18**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
KA		US- 5,822,531	10-13-1998	Gorczyca et al.	
KA		US- 6,006,259	12-21-1999	Adelman et al.	
KA		US- 6,470,389	10-22-2002	Chung et al.	
KA		US- 6,493,318	12-10-2002	Bare	
KA		US- 6,493,341	12-10-2002	Datta et al.	
KA		US- 5,655,120	08-05-1997	Witte et al.	
KA		US- 5,819,045	10-06-1998	Raman et al.	
KA		US- 5,539,883	07-23-1996	Allon et al.	
KA		US- 5,862,348	01-19-1999	Pedersen	
KA		US- 5,774,668	06-30-1998	Choquier et al.	
KA		US- 6,078,960	06-20-2000	Ballard	
KA		US- 6,480,861	11-12-2002	Kanevsky et al.	
KA		US- 6,487,605	11-26-2002	Leung	
KA		US- 6,327,622	12-04-2001	Jindal et al.	
KA		US- 6,438,652	08-20-2002	Jordan et al.	
KA		US- 6,424,621	07-23-2002	Ramaswamy et al.	
KA		US- 6,173,306	01-09-2001	Raz et al.	
KA		US- 6,272,522	08-07-2001	Lin et al.	
KA		US- 6,505,254	01-07-2003	Johnson et al.	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/780,101
Filing Date	02/17/2004
First Named Inventor	Pham, Duc
Art Unit	2131
Examiner Name	Abrishamkar, K.
Attorney Docket Number	AESN3017

Sheet 11 of 18

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		YOSHIDA et al., Virtualization — The Promise and the Reality, Sun Microsystems White Paper, 2001	
		Real-Time Mechanism for Accounting and Network Access Control, IBM Technical Disclosure Bulletin, April 1994, US, Vol 37, Issue 4A, pg 337-340, April 1, 1994	

Examiner Signature		Date Considered	6/12/06
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Sheet 12 of 18**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
MA		US- 6,185,681	02-2001	Zizzi, Stephen	
MA		US- 5,584,023	12-1996	Hsu, Mike	
MA		US- 5,596,718	01-1997	Boebert et al.	
MA		US- 5,850,446	12-1998	Berger et al.	
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MA		US- 6,647,400	11-2003	Moran, Douglas	
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MA		US- 6,671,773	12-2003	Kazar et al.	
MA		US- 2002/0091734	07-2002	Redlich et al.	
MA		US- 2003/0046366	03-2003	Pardikar et al.	
MA		US- 2003/0056095	03-2003	Elliott et al.	
MA		US- 2003/0112977	06-2003	Ray et al.	
MA		US- 2003/0196114	10-2003	Brew et al.	
		US-			
		US-			
		US-			

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
MA		WO 9900958	01-1999	LEVERIDGE et al		
MA		JP 08272667	10-1996	NAKAMURA, Y.		

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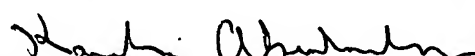
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		Application Number	10/780,101
		Filing Date	02/17/2004
		First Named Inventor	Pham, Duc
		Art Unit	2131
		Examiner Name	Abrishamkar, K.
Sheet	13	of	18
		Attorney Docket Number	AESN3017

NON PATENT LITERATURE DOCUMENTS			
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		<del>BERTINO et al., Advanced Transaction Processing in Multilevel Secure File Stores, 1998 IEEE</del>	
		<del>CATTANEO et al., The Design and Implementation of Transparent Cryptographic Filesystems for UNIX, June 2001</del>	
		<del>LUDWIG et al., File System encryption with Integrated User Management, October 2001 ACM</del>	
		<del>O'CONNELL et al., JFS: A Secure Distributed File System for Network Computers, 1999</del>	

Examiner Signature		Date Considered	6/9/06
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Art Unit	2131
Examiner Name	Abrishamkar, K.
Attorney Docket Number	AESN3017

Sheet 15 of 18**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
MA		US- 6,202,157	03-13-2001	Brownlie et al.	
MA		US- 6,219,790	04-17-2001	Lloyd et al.	
MA		US- 6,246,771	06-12-2001	Stanton et al.	
MA		US- 6,253,321	06-26-2001	Nikander et al.	
MA		US- 6,282,652	08-28-2001	Scheiffer	
MA		US- 6,158,011	12-05-2000	Chen et al.	
MA		US- 6,286,104	09-04-2001	Buhle et al.	
MA		US- 6,304,973	10-16-2001	Williams	
MA		US- 6,438,612	08-20-2002	Ylonen et al.	
MA		US- 6,354,886	03-05-2002	Howard et al.	
MA		US- 6,499,110	12-24-2002	Moses et al.	
MA		US- 6,496,932	12-17-2002	Trieger	
MA		US- 6,571,287	05-27-2003	Knight et al.	
MA		US- 6,584,508	06-24-2003	Epstein et al.	
MA		US- 6,502,192	12-31-2002	Nguyen	
MA		US- 6,505,300	01-07-2003	Chan et al.	
MA		US- 6,519,636	02-11-2003	Engel et al.	
MA		US- 6,539,483	03-25-2003	Harrison et al.	
		US-			

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
MA		WO 02/103498	12-27-2002	Gladstone		
MA		WO 01/37095	05-25-2001	Hollander		

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Art Unit	2131
Examiner Name	Abrishamkar, K.
Attorney Docket Number	AESN3017

Sheet 16 of 18**U. S. PATENT DOCUMENTS**

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LA		US- 5,349,642	09-20-1994	Kingdon	
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KA		US- 5,790,800	08-04-1998	Gauvin et al.	
KA		US- 5,680,461	10-21-1997	McManis	
KA		US- 5,784,463	07-21-1998	Chen et al.	

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First Named Inventor	Pham, Duc
Art Unit	2131
Examiner Name	Abrishamkar, K.
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Sheet 17 of 18**U. S. PATENT DOCUMENTS**

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KA		US- 6,052,785	04-18-2000	Lin et al.	
KA		US- 5,941,947	08-24-1999	Brown et al.	
KA		US- 5,825,890	10-20-1998	Elgamal et al.	
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KA		US- 5,922,073	07-13-1999	Shimada	
KA		US- 6,061,796	05-09-2000	Chen et al.	
KA		US- 6,084,969	07-04-2000	Wright et al.	
KA		US- 2001/0016907	08-23-2001	Kang et al.	
KA		US- 2002/1094495	12-19-2002	Gladstone et al.	
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		Number-Kind Code <sup>2</sup> (if known)			
KA		US- 5,150,407	09-22-1992	Chan	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> Number <sup>5</sup> Kind Code <sup>6</sup> (if known)				
KA		WO 02/093389	11-21-2002	Avida		
KA		WO 02/093314	11-21-2002	Avida		

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